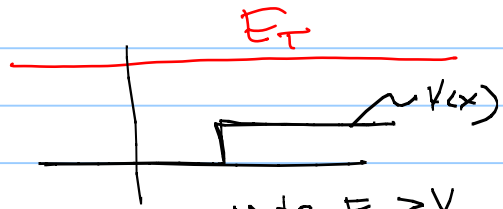


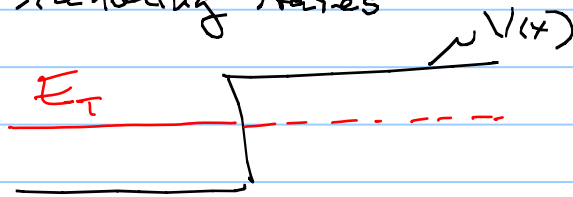
### Scattering State Solns to time Indep Schröd

1) Free particle scattering states

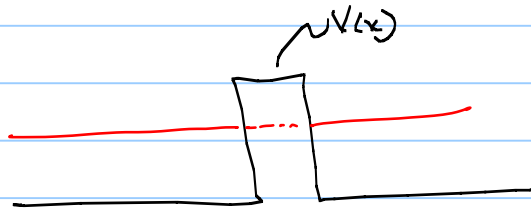


Note  $E_T > V$   
 always = free  
 but scattering?

2) Scattering states



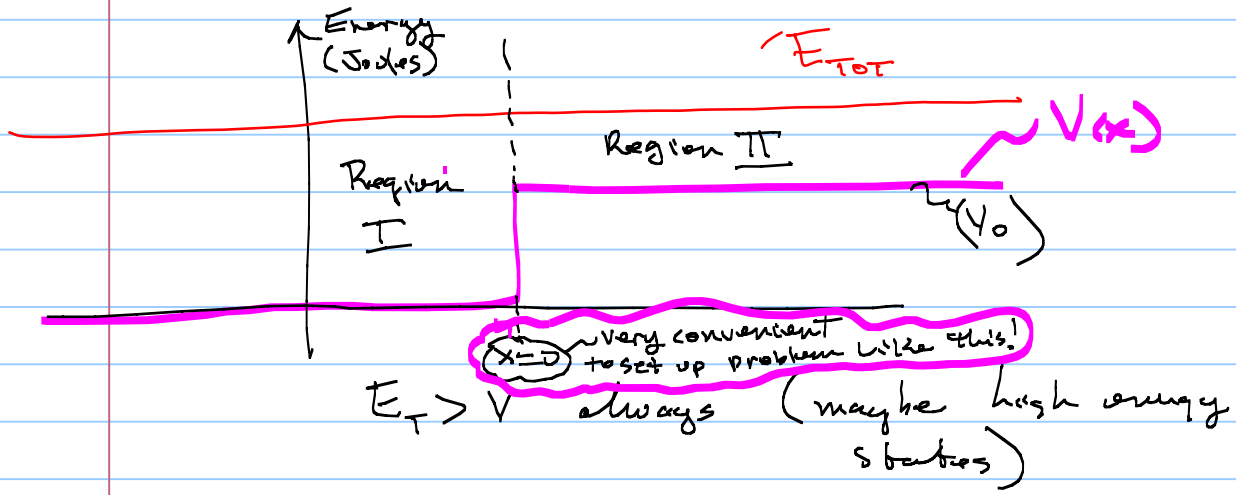
3) Then Tunneling



- alpha-decay
- tunneling microscope
- tunnel diode

# D) Free Particle Scattering States in Detail!

Prototype for many other solutions



$$\hat{H}\psi = E_T\psi$$

$$\psi'' + \frac{2m}{\hbar^2} (E_T - V)\psi = 0$$

Now  $E_T > V$  Always

so

$$\psi(x) = C_1 e^{i\sqrt{A}x} + C_2 e^{-i\sqrt{A}x}$$

But 2 Regions

so  
use same soln in  
both regions

I :  $A_1 = \frac{2m}{\hbar^2} E_T$   
 $V=0$

II ;  $A_2 = \frac{2m}{\hbar^2} (E_T - V_0)$   
 $V=V_0$

so

$$\psi_I(x) = A_1 e^{i(\sqrt{2mE_T}/\hbar)x} + B_1 e^{-i(\sqrt{2mE_T}/\hbar)x}$$

$$\psi_{II}(x) = A_2 e^{i(\sqrt{2m(E_T - V_0)}/\hbar)x} + B_2 e^{-i(\sqrt{2m(E_T - V_0)}/\hbar)x}$$

Now to make things a bit more clear,  
recognize

$$\sqrt{A} = \frac{\sqrt{2mE}}{\hbar} \quad \text{or} \quad \frac{\sqrt{2m(E-V)}}{\hbar} = \frac{\sqrt{k_0 \cdot 5}}{5 \cdot 5}$$

$$= \frac{\sqrt{k_0}}{\sqrt{5} \cdot 5} = \frac{\sqrt{k_0}}{(\sqrt{k_0 \frac{m^2}{5^2}}) 5}$$

$$= \frac{\sqrt{k_0}}{\sqrt{k_0} \frac{m}{5} \cdot 5} = \frac{1}{m}$$

$$E_T = E_K + E_P$$

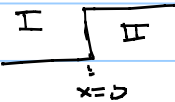
$$E_T - V = \frac{p^2}{2m} \Rightarrow p = \sqrt{2m(E_T - V)}$$

so since  $p/\hbar = k$

$$p = \hbar k$$

or  $\sqrt{A} = \text{WAVE \#} = k = \frac{2\pi}{\lambda}$

So  $E_T$



**I**  
( $x < 0$ )

$V = 0$

$$\psi_I(x) = A_1 e^{ik_1 x} + B_1 e^{-ik_1 x}$$

**II**  
 $0 \leq x$

$V = V_0$

$$\psi_{II}(x) = A_2 e^{ik_2 x} + B_2 e^{-ik_2 x}$$

now all we have to

do is make

$\psi$  well behaved for all  $x$

such that  $\int_{-\infty}^{+\infty} \psi^* \psi dx = \text{finite}$

well we know soln's

for  $x \neq 0 = \text{nice } \sim$

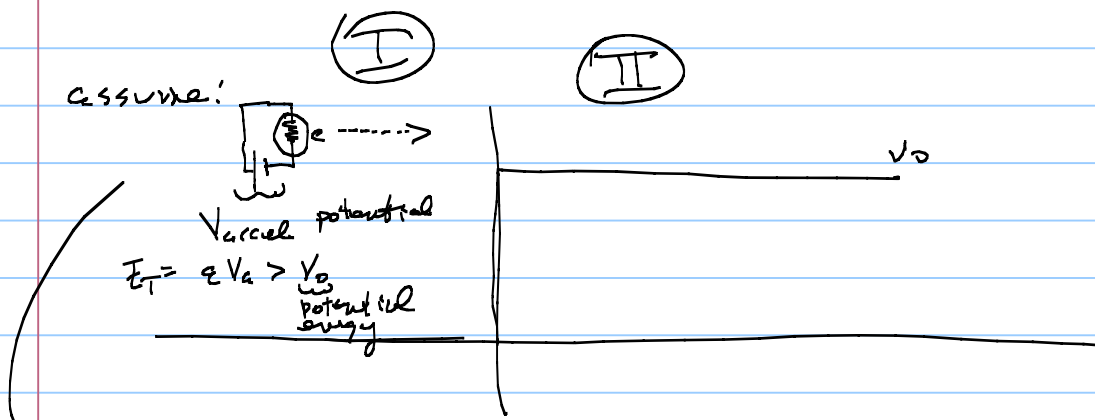
so only place we have to

work hard is @  $x = 0$

$$\text{need } \psi_1(0) = \psi_2(0)$$

$$\left. \frac{d\psi}{dx} \right|_{x=0} = \left. \frac{d\psi}{dx} \right|_{x=0}$$

Before jumping in ---- try a physical argument to make life easier



Big point is that build  $e^-$  gun & Free's  $\Rightarrow$

Well in region I

$$\psi(x) = A_1 e^{ik_1 x} + B_1 e^{-ik_1 x}$$

Recall  $\Psi(x,t) = e^{-i\frac{E_T}{\hbar}t} \psi(x)$ ; recall  $E_{\text{Tot}} = \hbar\omega$   
wave

$$\frac{\Psi(x,t)}{I} = A_1 e^{i(k_1 x - \omega t)} + B_1 e^{+i(-k_1 x - \omega t)}$$

$$= A_1 e^{i(k_1 x - \omega t)} + B_1 e^{-i(k_1 x + \omega t)}$$

= plane wave  $\xrightarrow{\text{trav}}$  + plane wave  $\xleftarrow{\text{trav}}$

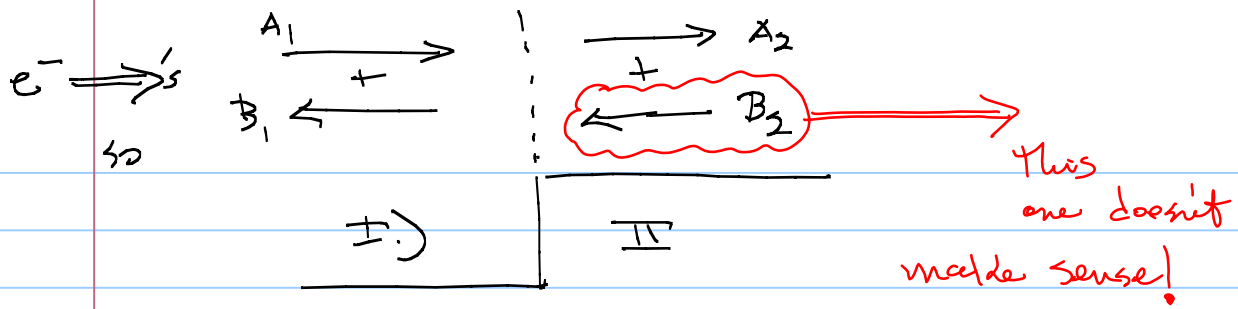
$$\therefore \underline{\Psi}_{II}(x,t) = A_2 e^{i(k_2 x - \omega t)} + B_2 e^{-i(k_2 x - \omega t)}$$

=  $\xrightarrow{\quad}$  +  $\xleftarrow{\quad}$

note:  $\omega$  = same in I & II ----

of course  $E_T = \hbar\omega$  doesn't change

But  $p = \hbar k$  does -- so  $k_1$  &  $k_2$  (ie  $n_1$  &  $n_2$ )



1) in I, might start w/  $\rightarrow$   
But could have reflection  $\leftarrow$

2) in II, might get transmitted  $\rightarrow$   
but couldn't have  
particles  $\leftarrow$   
(ie  $B_2 e^{-i(k_2 x - \omega t)}$   
= plane wave  $\leftarrow$   
standing @  $+\infty$ )

So ... Make  $B_2 = 0$  by physical argument

OK

$$\psi_I(x) = A_1 e^{ik_1 x} + B_1 e^{-ik_1 x}, \quad x < 0$$

$$\psi_{II}(x) = A_2 e^{ik_2 x}, \quad x \geq 0$$

not just used time dep stuff  
to help argue  $B_2 = 0$   
now don't need it

Now work to make  $\psi_I(0) = \psi_{II}(0)$   
 $\hookrightarrow$  their derivs @ 0

So, @  $x=0$

$$\psi_I(0) = \psi_{II}(0)$$

$$A_1 e^0 + B_1 e^0 = A_2 e^0$$

$$A_1 + B_1 = A_2$$

Next,

$$\frac{d\Psi_I(0)}{dx} = \frac{d\Psi_{II}(0)}{dx}$$

$$\Rightarrow i k_1 A_1 e^0 + -i k_1 B_1 e^0 = i k_2 A_2 e^0$$

$$i k_1 A_1 + -i k_1 B_1 = i k_2 A_2$$

$$\text{now } k_1 = \sqrt{A_1}$$

$$k_2 = \sqrt{A_2}$$

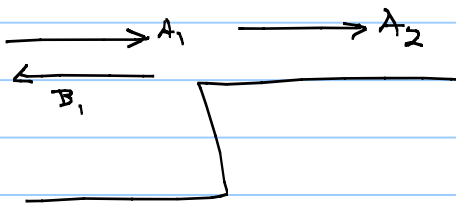
The only unknowns are  $A_1, A_2$  &  $B_1$

But

Only 2 Equations!

Can't solve all 3

BUT, we can do a trick that pulls out the key aspects of this problem!



Solve for  $A_1$  &  $B_1$ , keeping  $A_2$  unknown.  
In other words

get  $A_1$  &  $B_1$  in terms of  $A_2$

↓

It will help!

$$\text{So } \textcircled{1} \quad A_1 + B_1 = A_2$$

$$\textcircled{2} \quad k_1 A_1 - k_2 B_1 = k_2 A_2$$

$$A_1: \textcircled{1} \quad A_1 = A_2 - B_1$$

$$\textcircled{2} \quad B_1 = \frac{k_1 A_1 - k_2 A_2}{k_1}$$

$$A_1 = A_2 - A_1 + \frac{k_2}{k_1} A_2$$

$$A_1 = \frac{A_2}{2} \left( 1 + \frac{k_2}{k_1} \right)$$

Then

$$\textcircled{1} \quad B_1 = A_2 - A_1$$

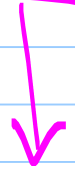
$$\textcircled{2} \quad A_1 = \frac{k_2 A_2 + k_1 B_1}{k_1}$$

$$B_1 = A_2 - \frac{k_2}{k_1} A_2 - B_1$$

$$B_1 = \frac{A_2}{2} \left( 1 - \frac{k_2}{k_1} \right)$$

$$\psi_{\pm}(x) = \frac{A_2}{2} \left( 1 + \frac{k_2}{k_1} \right) e^{c k_1 x} + \frac{A_2}{2} \left( 1 - \frac{k_2}{k_1} \right) e^{-c k_1 x}, \quad x < 0$$
$$\psi_{\pm}(x) = A_2 e^{c k_2 x}$$

all in terms  
of  $A_2$ .



That's it.... done.... but not too interesting

$$\psi_I(x) = \frac{A_1}{2} \left(1 + \frac{k_2}{k_1}\right) e^{ik_1 x} + \frac{A_2}{2} \left(1 - \frac{k_2}{k_1}\right) e^{-ik_1 x}$$

$$\psi_{II}(x) = A_2 e^{ik_2 x}$$

recall  $= A_1 e^{ik_1 x} + B_1 e^{-ik_1 x}$   $= A_2 e^{ik_2 x}$

to get physical .... we can associate

$$\psi_{\text{Incident}} = A_1 e^{ik_1 x}$$

$$\psi_{\text{Reflected}} = B_1 e^{-ik_1 x}$$

$$\psi_{\text{Transmitted}} = A_2 e^{ik_2 x}$$

Now as  $k$

what is chance of Reflecting?

$$\text{well } \frac{\text{prob reflected}}{\text{prob incident}} = \frac{\psi_R^* \psi_R = B_1^* e^{-ik_1 x} B_1 e^{-ik_1 x}}{\psi_I^* \psi_I = A_1^* e^{-ik_1 x} A_1 e^{ik_1 x}}$$

$$R = \frac{B_1^* B_1}{A_1^* A_1} = \frac{(k_1 - k_2)^2}{(k_1 + k_2)^2}$$

↑  
simplifying

Similarly, chance of transmitting

$$T = \frac{\text{prob of trans}}{\text{prob of inc}} = \frac{A_2^* A_2}{A_1^* A_1}$$

Now clearly  $R + T$  must = 1 probability

so  $T = 1 - R$

$$\therefore T = 1 - \frac{(k_1 - k_2)^2}{(k_1 + k_2)^2}$$

where

$$R = \frac{(k_1 - k_2)^2}{(k_1 + k_2)^2}$$

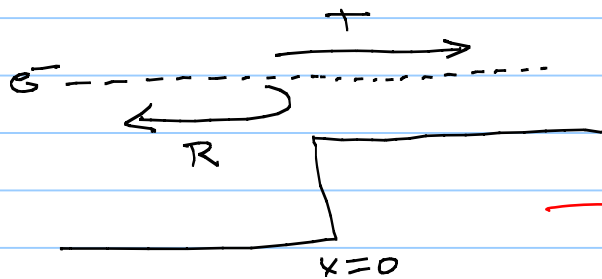
where  $k_1 = \sqrt{A_1} = \sqrt{2mE_T}/\hbar$

$$k_2 = \sqrt{A_2} = \sqrt{2m(E_T - V)}/\hbar$$

rewriting

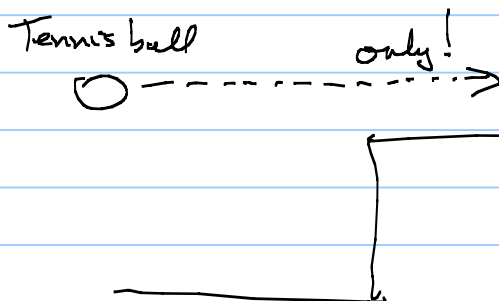
$$R = \left( \frac{\sqrt{E} - \sqrt{E - V_0}}{\sqrt{E} + \sqrt{E - V_0}} \right)^2$$

**HUGE Q.M. Result!**



$e^-$  has chance of reflecting back @  $x=0$

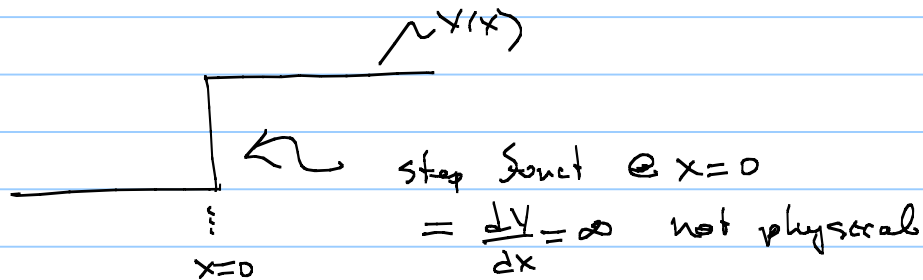
classically this won't happen



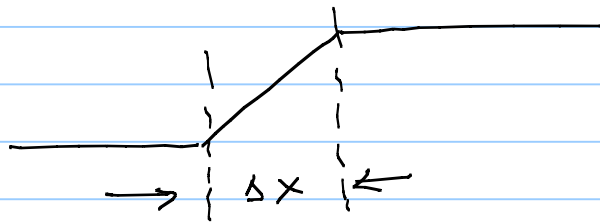
**EXAMPLE! 4.1**  
 is  $E_{tot} = 2V_0$  for  $e^-$   
 $R = 0.17 = 17\%$   
 chance of reflecting @  $x=0$ !

\* Bit of reality cause really don't see  
17% e<sup>-</sup>'s doing that.

The reason why is that our problem  
we solved is



real  $V(x)$ 's go like  $\sim$  over  $\Delta x$



now is  $\Delta x > (\lambda_e = \frac{h}{p})$

then

e<sup>-</sup> will not see  $\square$  (step)

(ie it has a chance to "see" the  
changes)

so it will not reflect as  
often.

Ex: 4.1 for 100 eV e<sup>-</sup>,  $\lambda_e = 1.2 \times 10^{-10}$  m

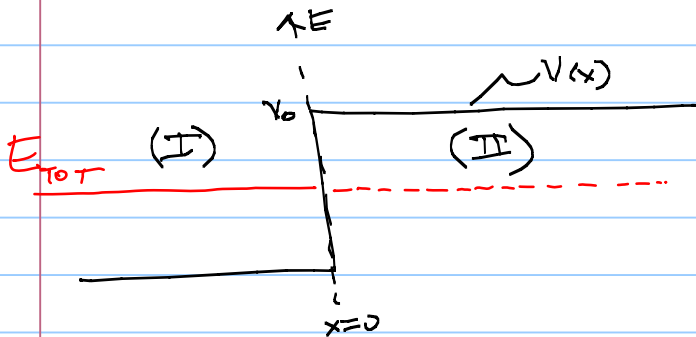
$\therefore V(x)$  would have to go from  $\int_0^{V_0}$   
in  $\Delta x <$  size of atom

Doesn't, can't happen, in macro cases very easy!

OK: we've got the prototype case!  
All others work the same way!

The details are in Sherris!  
Here are the solutions!

More "Traditional" scattering states



again, 2 regions

$$\psi'' + A\psi = 0$$

I ( $x < 0$ )  
 $E_T > V(x)$

II ( $x \geq 0$ )  
 $E_T < V(x)$

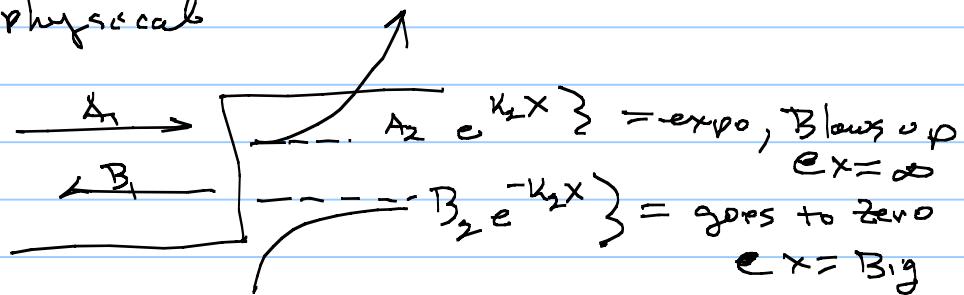
$$\psi_I(x) = A_1 e^{ik_1 x} + B_1 e^{-ik_1 x}$$

$$\psi_{II}(x) = A_2 e^{k_2 x} + B_2 e^{-k_2 x}$$

$$k_1 = \sqrt{2mE}/\hbar$$

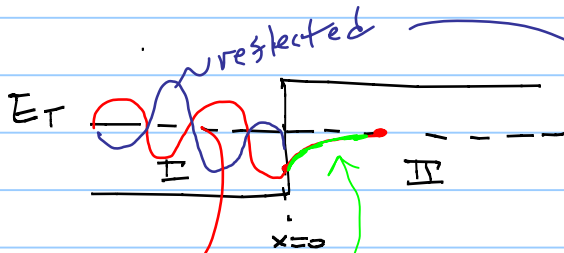
$$k_2 = \sqrt{2m(E_T - V)}/\hbar$$

get physical



So physically  $A_2 = 0$

Now when you do all the same techniques as before, you get



Clearly huge Result...  $\psi_e$  &  $\psi_e^* \psi_e$  in region  $\neq 0 \Rightarrow$ 's particle can tunnel into wall

$$\psi_I(x) = \frac{B_2}{2} \left(1 + i \frac{k_2}{k_1}\right) e^{i k_1 x} + \frac{B_2}{2} \left(1 - i \frac{k_2}{k_1}\right) e^{-i k_1 x} ; x < 0$$

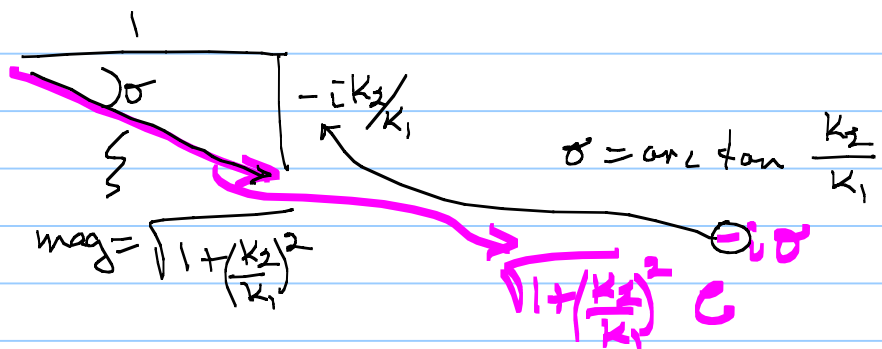
*incident*

$$\psi_{II}(x) = T e^{-i k_2 x} ; x > 0$$

*transmitted*

But realistically IS II = only thick  
 $R = \frac{B_1^* B_1}{A_1^* A_1} = 1$   
 $T = 0$  -- no tunneling

Note what does, say  $\psi_I$  reflected =  $\frac{B_2}{2} \left(1 - i \frac{k_2}{k_1}\right) e^{-i k_1 x}$   
 wean?



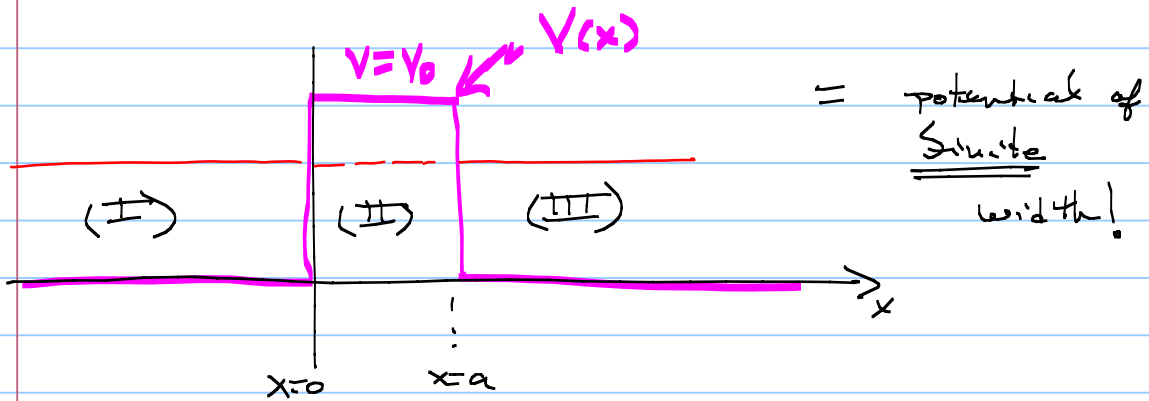
so

$$\psi_I^{\text{reflected}} = \frac{B_2}{2} \sqrt{1 + \left(\frac{k_2}{k_1}\right)^2} e^{-i \sigma} e^{-i k_1 x}$$

$$= \frac{B_2}{2} \sqrt{1 + \left(\frac{k_2}{k_1}\right)^2} e^{-i(k_1 x + \sigma)}$$

↑  
= phase change on reflection!

However, can take this one step further and get very cool result!



$$\hat{H}\psi = E_T \psi$$

$$\psi'' + k^2 \psi = 0$$

3 regions

I  
 $x < 0$   
 $E_T > V(x)$

$$\psi_{\text{I}}(x) = A_1 e^{ik_1 x} + B_1 e^{-ik_1 x}$$

$$k_1 = \sqrt{2mE}/\hbar$$

II  
 $0 \leq x \leq a$   
 $E_T < V_0$

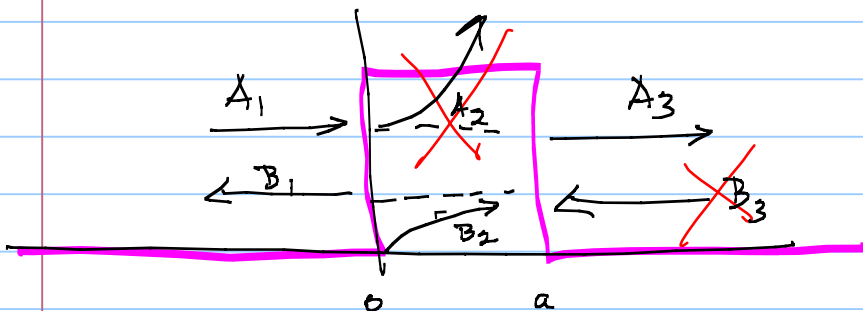
$$\psi_{\text{II}}(x) = A_2 e^{k_2 x} + B_2 e^{-k_2 x}$$

$$k_2 = \sqrt{2m(V_0 - E)}/\hbar$$

III  
 $x > a$   
 $E_T > V(x)$

$$\psi_{\text{III}}(x) = A_3 e^{ik_3 x} + B_3 e^{-ik_3 x}$$

$$k_3 = \sqrt{2mE}/\hbar$$



now meet BC's

$$\psi_{\text{I}}(0) = \psi_{\text{II}}(0)$$

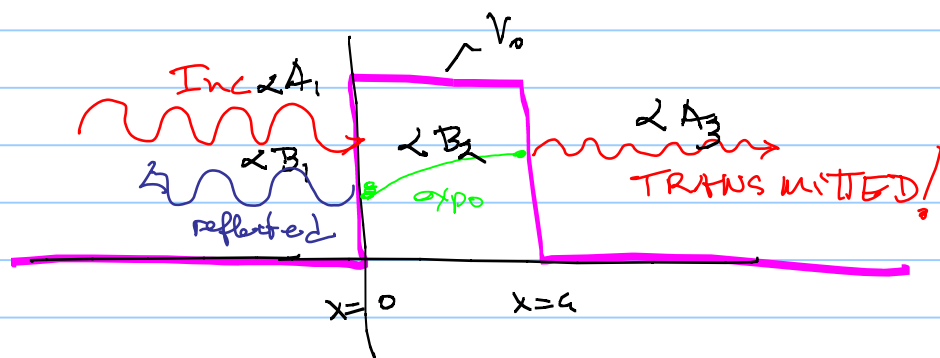
$$\frac{d\psi_{\text{I}}}{dx}(0) = \frac{d\psi_{\text{II}}}{dx}(0)$$

and

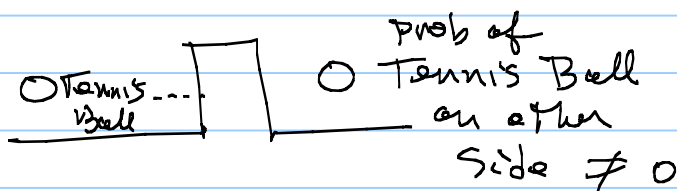
$$\psi_{\text{II}}(a) = \psi_{\text{III}}(a)$$

$$\frac{d\psi_{\text{II}}}{dx}(a) = \frac{d\psi_{\text{III}}}{dx}(a)$$

Lots of algebra but clearly



Wow! Particle Tunnelled thru the wall  
 $\neq$  Classical!



Specifically:

$$\text{Tunnel prob} = \frac{A_3^* A_3}{A_1^* A_1} = \text{TONS of Algebra}$$

\*

$$\text{TUNNEL prob} = \frac{1}{1 + \left[ \frac{V_0^2}{4E_T(V_0 - E_T)} \right] \sinh^2(k_2 a)}$$

$$\sinh^2 x = \frac{e^{2x} - e^{-2x}}{2}$$

check!

$$k_2 = \sqrt{2m(E_T - V_0)} / \hbar$$

$\frac{1}{2} a = \text{tunnel width}$

Classically  $k_2 = \frac{2\pi}{\lambda} = \frac{2\pi}{\hbar v} = \frac{2\pi}{\hbar} = \frac{2\pi}{\text{small}} = \text{HUGE}$

So  $\sinh^2(k_2 a) = \sinh^2(\text{BIG \#}) \Rightarrow \infty$  so  $T = 0$  classically.

But for  $e^-$  in atom

$$\lambda_{e^-} \sim \text{dia atom} = a_0$$

$$\therefore \sinh^2(k_2 a) = \sinh^2\left(\frac{1}{a_0} a_0\right)$$

↑  
size  
of  
barrier = atom-ish

$$\sinh^2(1) = \left(\frac{e^{2*1} - e^{-2*1}}{2}\right) \text{ ? check?}$$

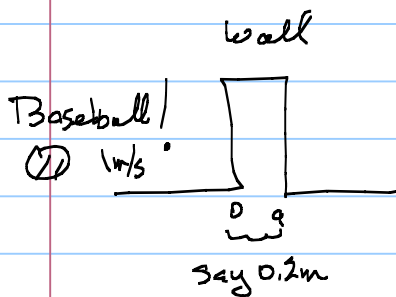
But clearly

$$T = \frac{1}{1 + \text{small \#}} \neq 0$$

$\therefore$  Will get

Q.M. tunneling!

## EXAMPLE: 4.2.



$$k_2 = \frac{2\pi}{\lambda} = \frac{2\pi}{\frac{h}{p}} = \frac{p}{\hbar} \approx \frac{(0.14 \text{ kg})(1 \text{ m/s})}{1 \times 10^{-34} \text{ Js}}$$
$$= 1 \times 10^{33} \text{ m}^{-1}$$

$$\text{Then } k_2 a = 3 \times 10^{32}$$

$$\sinh^2(k_2 a) \approx e^{2k_2 a} \approx e^{6 \times 10^{32}}$$

$$= 10^{10^{32}}$$

only  $10^{17}$  seconds  $\approx 10^{10.1}$   
in lifetime of universe!

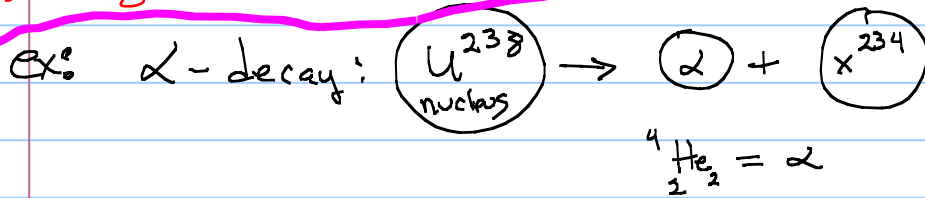
WOW-AH

$$T = \frac{1}{10^{10^{32}}} \approx 0 \text{ to } 10^{32} \text{ decimal places!}$$

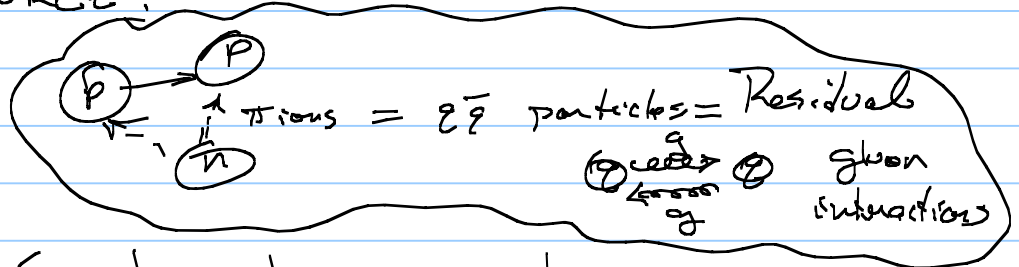
So for  $T \neq 0 \approx 0$  need

$\sinh^2(k_2 a)$  reasonable  $\approx 1$  so  $k_2 a \approx 1$   
 $\uparrow$   
 tunnel width  
 or  
 $\left(\frac{2\pi}{\lambda}\right) (\text{tunnel width}) \approx 1$

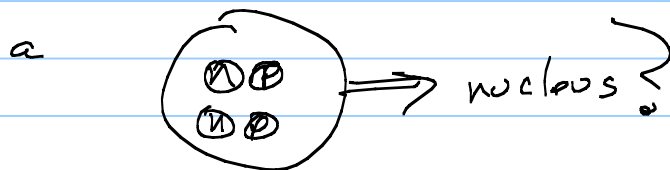
in a way you need to be able to "see" other side!  
 $\lambda$  of particle  $\approx$  tunnel width  
 ? where does this happen?  
 Atoms & Semiconductors!  
 $\lambda$  is so you wish Tunnel



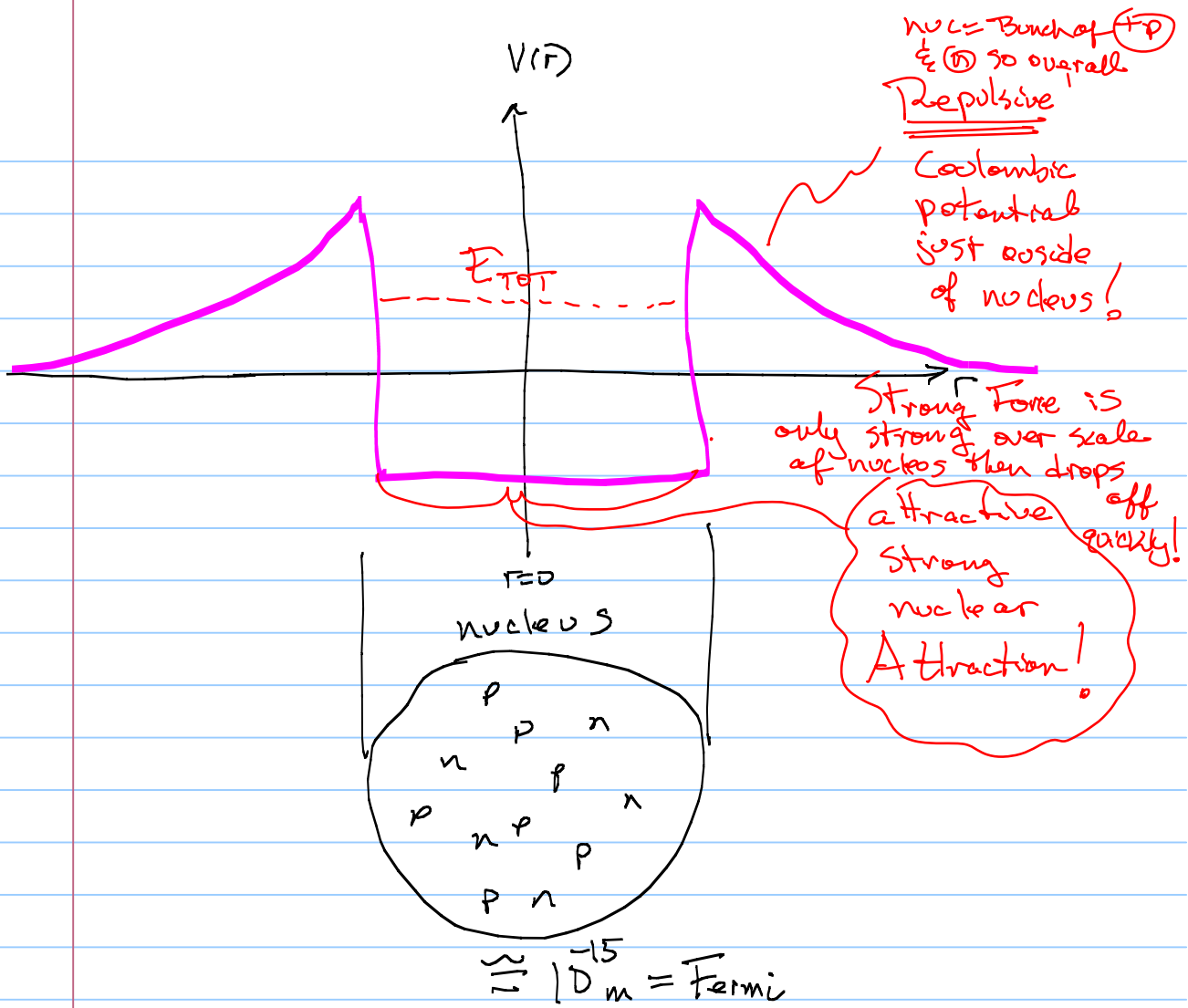
How?  
 nucleus held together w/ STRONG NUCLEAR FORCE!



So how do you end up spilling out



Tunneling!



Note: For  $E_{TOT} = \text{Bound by Potential}$   
 But  
 since  $\lambda \approx \text{atom}$   
 tunnel width

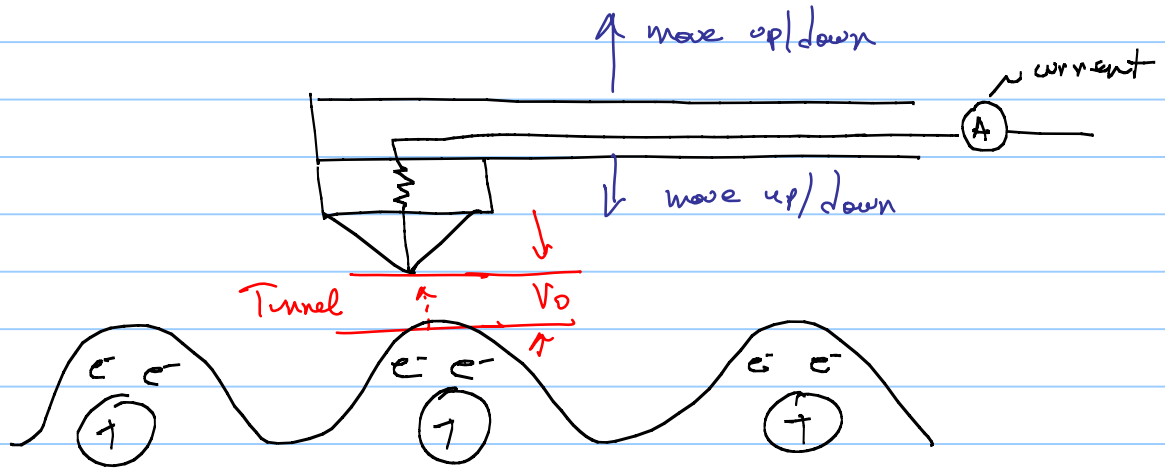
you get  
 non zero Tunneling Probability!

## Another Example: Tunnel Diode

Huge class of semiconductor  
 Devices!

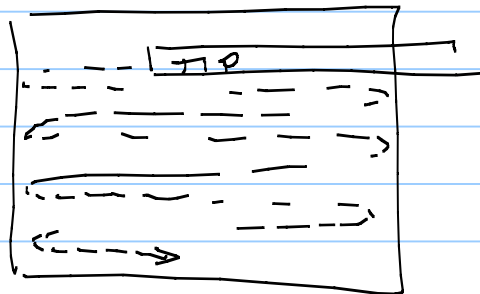
Another Example:  $\Rightarrow$

# Scanning Tunneling Microscope!



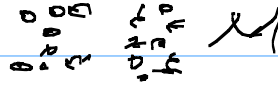
idea: is, set tunnel current  $\sim$  say 10 nAmps

Then scan tip back & forth



across surface & move Tip up & down  
to maintain specific tunnel current.

In the end, you probe  $e^-$  density  
@ atomic scale and thus "see" atoms!

Move atoms around & spelled   $\lambda$   
w/ 23 Xenon atoms!